

# **POLICY STATEMENT**

Policy Name	Applied Research Integrity		
Policy #	1101	Category	Research and Scholarly Activity
Policy Sponsor	Associate Vice-President Research and Innovation	Previous Revision Date	May 6, 2014
Policy Approved by	President and CEO	Issue or Revision Date	June 4, 2020
Procedures Approved by	Provost and Vice-President Academic	Review Date	June 2025

## See the related **PROCEDURES**.

#### PURPOSE

This policy sets forth the standards for responsible conduct of research for all those involved in any capacity in all research conducted at Saskatchewan Polytechnic and to provide a process to address potential breaches of policy.

#### SCOPE

This policy applies to all members of Saskatchewan Polytechnic (Sask Polytech) including all Sask Polytech management, faculty, staff, trainees, associates, affiliates, and students irrespective of the source of funding (if any) and irrespective of the location of the project so long as the researcher represents the work as Sask Polytech research. Projects conducted by researchers outside the Sask Polytech community who access Sask Polytech resources (either equipment or members of the Sask Polytech community) will also fall within the jurisdiction of the policy.

Nothing in this document on the matter of copyright supersedes the terms and conditions of the current Sask Polytech collective agreements.

#### **GUIDING PRINCIPLES**

- 1. Sask Polytech expects the highest ethical and scientific standards of academic integrity.
- 2. Employees of Sask Polytech and students enrolled in a Sask Polytech course or program partaking in applied research, or anyone engaged in applied research at Sask Polytech in any capacity whatsoever, shall maintain a high standard of research integrity.
- 3. The research and scholarly work of members of Sask Polytech must be held in the highest regard and be seen as rigorous and scrupulously honest. Scholarly work is expected to be conducted in an exemplary fashion, be ethically sound, and contribute to the creation, application and refinement of knowledge.
- 4. Stewardship of resources associated with research must be transparent and comply with all Sask Polytech and funding agency policies and regulatory requirements.

## POLICY

- 1. Faculty, principal investigators, lead researchers or supervisors shall ensure that all participants involved in applied research projects will follow the highest possible ethical standards and conduct research with scholarly and academic integrity.
- 2. Allegations of breaches of this policy will be dealt with by prompt, effective procedures that ensure fairness and protect both those whose integrity is brought into question and those who bring forward allegations of breaches or misconduct.
- 3. Sask Polytech will provide an environment that supports the best research and that fosters researchers' abilities to act honestly, accountably, openly and fairly in the search for and dissemination of knowledge including but not limited to providing ongoing educational opportunities in research integrity.
- 4. If the allegation is found to have been made in good faith, no disciplinary measures or retaliatory action shall be taken against the complainant. If the allegation is found to have been made in bad faith, the Senior Administrator or designate will investigate the action under Sask Polytech's Policy 601Harassment.
- 5. Any acts of retaliation (including threats, intimidation, reprisals or adverse employment or education action) made against the complainant or any individual who participated in any manner in the investigation or resolution of a report of a breach of this policy are subject to Sask Polytech's Policy 601 Harassment.

### **RELATED POLICIES/DOCUMENTS**

Policy 102 Academic Freedom Policy 1103 Applied Research Administration Policy 704 Conflict of Interest Policy 1102 Ethics of Research Involving Human Participants Policy 601 Harassment Policy 1106 Inventions and Technology Transfer Policy 1105 Use of Animals in Teaching and Research Policy 117 Use of Copyrighted Materials

## APPLICABLE LEGISLATION OR REGULATIONS

Tri-Council Policy Statement: Ethical Conduct for Research Involving Humans (TCPS 2); Canadian Council on Animal Care guidelines and policies; Canadian Environmental Assessment Act; Licenses from appropriate governing bodies for research in the field; Laboratory Biosafety guidelines; Canadian Nuclear Safety Commission (CNSC) regulations, and Radiation Safety guidelines; Controlled Goods Program; Public Health Agency of Canada guidelines; Canada Food Inspection Agency guidelines and Canada's Food and Drugs Act